

**1 Field validation of a cosmic-ray neutron sensor using a distributed sensor network**

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**3 Abstract**

4 With continued refinement in land surface model resolution the need for accurate  
5 and continuous soil moisture datasets at intermediate spatial scales has become critical  
6 for improved meteorological and hydrological prediction. The current availability of such  
7 data is inadequate. Here, we present a comparison of two datasets that provide average  
8 soil moisture over an area hundreds of meters squared in a dryland ecosystem in southern  
9 Arizona. One dataset is from a high-resolution soil moisture network of 180 time-domain  
10 transmission probes; the other is from a cosmic-ray neutron sensor placed at the center of  
11 the study area. We find the cosmic-ray neutron counts correlate well with spatially  
12 averaged point measurements of soil moisture over a six-month period with an RMSE of  
13  $0.0165 \text{ m}^3 \text{ m}^{-3}$  and percent error of less than 20%. Neutron transport simulations suggest  
14 our understanding of the effective sensor depth in the presence of vertical variations in  
15 water content is adequate. We find that daily evapotranspiration water fluxes inferred  
16 from cosmic-ray measurements agree with previously published eddy-covariance  
17 measured values at the study site, suggesting that the cosmic-ray neutron sensor may be  
18 able to provide flux measurements of the near surface at intermediate spatial scales.

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23

## 24 **1. Introduction**

25           As land surface models continue to be refined in space (Wood et al., 2011), the  
26 need for high-resolution and high-quality datasets, especially soil moisture, remains  
27 critical for validation and calibration of models (Vereecken et al., 2008). While  
28 instrumentation and soil moisture sensors have advanced significantly, gaps at different  
29 spatial and temporal scales remain, especially intermediate scales (Robinson et al., 2008a;  
30 Robinson et al., 2008b), affecting the quality of hydrologic datasets (Binley and Beven,  
31 2003; Day-Lewis and Lane, 2004; Hinnell et al., 2010). A key relationship that needs  
32 better understanding is the strength of the land-surface-atmospheric coupling (Koster et  
33 al., 2004; Seneviratne et al., 2010), and particularly the need for proper model  
34 initialization of soil moisture in order to make accurate weather forecasts. Direct  
35 measurements of soil moisture at large spatial scales are difficult, time consuming, and  
36 not feasible at many temporal scales or geographic locations. While spaceborne  
37 measurements of microwave emissions have satisfied some of the spatial needs, the  
38 shallow penetration depth (Njoku et al., 2003) and long repeat times make estimates of  
39 accurate soil water fluxes difficult.

40           The need for continuous long-term measurements of precipitation and soil  
41 moisture has been recognized for decades (Manfreda and Rodriguez-Iturbe, 2006;  
42 Rodriguez and Mejia, 1974), but because soil moisture is difficult to measure, data at the  
43 spatial scale of the continental USA are sparse (Hausman, 2011). However, recent  
44 advances in cosmic-ray neutron sensor technology have allowed soil moisture to be  
45 quantified continuously in time at intermediate spatial scales (Zreda et al., 2008). A new  
46 national network of cosmic-ray soil moisture sensors, the COsmic-ray Soil Moisture  
47 Observing System (COSMOS), has recently come online with the goal of improving

48 hydrometeorological forecasting (Zreda et al., 2012), data available at  
49 <http://cosmos.hwr.arizona.edu/>. As part of setting up the national network, a large number  
50 of point measurements were made inside the cosmic-ray footprint to calibrate each  
51 sensor. We found that collecting 108 samples at 18 different locations inside a 200 m  
52 radius circle typically gives reasonable estimates of the mean volumetric water content  
53 with a standard error of less than  $0.003 \text{ m}^3 \text{ m}^{-3}$ , albeit with a considerable amount of  
54 variability. Previous work in Oklahoma and Iowa (Famiglietti et al., 2008) indicate the  
55 relationships between different moments of soil moisture averaged over different spatial  
56 and temporal scales illustrating the difficulty of capturing area-average soil moisture at  
57 intermediate scales from point measurements.

58 In this work, we compare the results from a network of 180 time-domain  
59 transmission probes with a cosmic-ray soil moisture sensor in a highly heterogeneous  
60 southern Arizona dryland ecosystem. We compare the spatial average of the point  
61 measurements with the cosmic-ray measurements. We next present particle transport  
62 modeling results using the observed soil moisture profiles, and finally compute mass  
63 balance using the observed cosmic-ray soil moisture values. We conclude with a general  
64 discussion on the quality of area-average soil moisture measurements with the cosmic-ray  
65 neutron sensor and propose future research directions.

66

## 67 **2. Methodology**

### 68 **2.1 Study Site**

69 The field measurements of soil moisture were conducted in the Santa Rita  
70 Experimental Range (SRER), approximately 35 km south of Tucson, AZ (Fig. 1a). The

71 SRER receives an average of ~400 mm of rainfall per year, with 50% occurring between  
72 July and September and 30% between December and March (Scott et al., 2008). Daytime  
73 temperatures often exceed 35°C in the summer months and 15°C in the winter months.  
74 Using eddy covariance techniques, previous studies (Cavanaugh et al., 2011; Scott et al.,  
75 2008) calculated actual evapotranspiration rates of 3 to 4 mm day<sup>-1</sup> in summer months  
76 and ~0 to 2 mm day<sup>-1</sup> during winter months. The study site has ~24% vegetation cover,  
77 which is primarily composed of creosotebush (~14%), *Larrea tridentate*, with the  
78 remaining vegetation (~10%) composed of grasses, forbes, catci, and mesquite  
79 (Cavanaugh et al., 2011). The soils were previously characterized as an Agustin sandy  
80 loam with 5 to 15% gravel in the top meter, and having a caliche layer at depths greater  
81 than one meter (Cavanaugh et al., 2011). The landscape slopes in a northwest direction  
82 with an average angle of 2°. Observations of the surface indicate channelization at the  
83 individual plant scale with Hortonian runoff and overland flow leading to redistribution  
84 of sediment.

85

## 86 **2.2 Soil Moisture Measurements Using a Cosmic-ray Neutron Sensor**

87 A cosmic-ray neutron sensor for quantifying soil moisture (Model CRS-1000  
88 from Hydroinnova LLC, Albuquerque, NM, USA) was installed at the study site on 2  
89 June 2010 as part of the COSMOS network (Zreda et al., 2012). The sensor measures  
90 low-energy neutrons (Zreda et al., 2008) and records the total count every hour. Because  
91 of the nuclear properties of hydrogen (Glasstone and Edlund, 1952), the relative change  
92 in low-energy neutron counts is most correlated to changes in soil water content. Using  
93 neutron particle transport modeling, previous studies (Zreda et al., 2008) found that the

94 sensor has a horizontal support of a circle of approximately 335 m in radius at sea level,  
 95 and a vertical support of 70 cm in dry conditions and 12 cm at full saturation independent  
 96 of air pressure. Zreda et al. (2008) defined the support volume as the point at which 86%  
 97 (i.e. two e-folding or  $1-1/e^2$ ) of the neutrons detected above the surface originated from in  
 98 the subsurface. Given that fast neutrons travel with velocities  $> 10 \text{ km s}^{-1}$  (Glasstone and  
 99 Edlund, 1952), the rapid mixing of neutrons ( $\sim 10^{-4} \text{ s}$ , Table 6.147 on page 184 Glasstone  
 100 and Edlund, 1952) above the heterogeneous surface is practically instantaneous and  
 101 provides a well-mixed region, which can effectively be sampled with a point detector. In  
 102 addition, the average collision free path (distance between successive collisions) of a  
 103 neutron traveling in air is  $\sim 30 \text{ m}$  with tens of collisions occurring between the creation of  
 104 low-energy neutrons ( $\sim 10^6 \text{ eV}$ ) and eventual thermalization or detection of those neutrons  
 105 ( $\sim 10^1$  to  $10^2 \text{ eV}$ ) used for soil moisture measurements (Desilets et al., 2010). By  
 106 comparing the collision free path length and horizontal scale of soil moisture  
 107 organization, we assume that horizontal heterogeneity at most natural sites will not be  
 108 important as the length scale of soil moisture correlation is much smaller than 30 m. We  
 109 note that this has yet to be fully validated with experimental or modeling results and  
 110 remains an open research question.

111 Using a neutron particle transport model, Desilets et al. (2010) found a theoretical  
 112 relationship between relative neutron counts and soil water content in homogeneous sand  
 113 ( $\text{SiO}_2$ ):

$$114 \quad \theta(N) = \frac{0.0808}{\left(\frac{N}{N_0}\right)^{-0.372}} - 0.115 \quad (1)$$

115 where  $\theta$  ( $\text{m}^3 \text{m}^{-3}$ ) is the average volumetric water content,  $N$  is the neutron counting rate  
 116 ( $\text{count hr}^{-1}$ ) normalized to a reference atmospheric pressure and solar activity level, and  
 117  $N_0$  ( $\text{count hr}^{-1}$ ) is the counting rate over dry soil under the same reference conditions and  
 118 needs to be estimated with at least one independent soil moisture calibration. Full details  
 119 on the correction factors for variations in atmospheric pressure and geomagnetic latitude  
 120 (Desilets and Zreda, 2003), and solar activity level (Zreda et al., 2012) are discussed  
 121 elsewhere. We note that these correction factors are automated on the COSMOS website  
 122 with full hourly details provided in data levels 1 and 2.

123 Because neutrons are affected by all sources of hydrogen in the support volume,  
 124 we have included an additional neutron correction factor for variations in atmospheric  
 125 water vapor (Zreda et al., 2012). Rosolem et al. (In Review) found a water vapor  
 126 correction factor,  $C_{wv}$ , using a neutron particle transport model:

$$127 \quad C_{wv} = 1 + 0.0054(\rho_v^0 - \rho_v^{ref}) \quad (2)$$

128 where  $\rho_v^0$  ( $\text{g m}^{-3}$ ) is the average density of air in a  $\sim 335$  m radius hemisphere above the  
 129 surface, and  $\rho_v^{ref}$  ( $\text{g m}^{-3}$ ) is the average density of air at a reference condition. Estimates  
 130 of average air density can be made with surface measurements of air temperature, air  
 131 pressure, relative humidity, and assuming standard atmospheric lapse rates. We note that  
 132 at SRER that water vapor greatly varies between the dry and wet season resulting in  
 133 neutron correction factors up to 5 to 10% at the extremes.

134 In order to estimate the free parameter  $N_0$  in equation (1), we performed five  
 135 different soil moisture calibration datasets. Volumetric samples were collected at 18  
 136 locations (every 60 degrees from 0 to 360 and at radial distances of 25, 75, and 200 m  
 137 along each transect) and at 6 depths (0-5, 5-10, 10-15, 15-20, 20-25, 25-30 cm) for a total

138 of 108 samples. Given the radial sensitivity of the cosmic-ray sensor, every location is  
139 given equal weight in an estimate of area-average soil moisture. Figure 1 illustrates two  
140 horizontal cumulative sensitivity contours at SRER for the cosmic-ray neutron sensor.  
141 Note that the 63% (one e-folding) and 86% (two e-folding) contours are 10% larger than  
142 previously reported (Zreda et al., 2008), as air density at SRER (elevation 989 m) is  
143 ~10% less than at sea level, thus allowing neutrons to travel farther. The volumetric soil  
144 samples were collected in a 30 cm long split tube corer with 5.08 cm diameter sample  
145 rings (Model 355.42 from AMS Inc., American Falls, ID, USA). The gravimetric weight  
146 loss was recorded in each sample following oven drying at 105°C for 48 hours and  
147 attributed to pore water (Dane and Topp, 2002). We note at SRER that it took  
148 approximately 6 hours to collect a full calibration dataset and therefore took a six-hour  
149 average neutron count,  $N$ , over the same period in order to determine  $N_0$  in equation (1).

150

### 151 **2.3 Soil Moisture Measurements Using a Distributed Sensor Network**

152 In the same general pattern as the volumetric calibration datasets, profiles of time-  
153 domain transmission probes (TDT) (Model ACC-SEN-TDT from Acclima Inc.,  
154 Meridian, ID, USA) were installed between 15 and 26 June 2011 (Fig. 1). Acclima TDT  
155 probes have been shown to have performance equivalent to conventional TDR (Blonquist  
156 et al., 2005b). At each site, probes were placed horizontally at 10, 20, 30, 50, and 70 cm  
157 both in open areas and beneath a creosotebush within 3 meters of each other for a paired  
158 study. Following excavation of a 1 m<sup>3</sup> soil pit, a chisel of the same dimensions as the  
159 TDT probe was used to excavate a cavity in the upslope soil face. The TDT probe was  
160 then placed in the cavity using the excavated soil to backfill the remaining void space.

161 After all five probes were in place; we repacked the excavated soil pit using the soil from  
162 the same depth location. A tipping bucket rain gauge was also installed at each location  
163 (Model TE525m from Campbell Scientific Inc., Logan, UT, USA). Data was recorded  
164 every 30 minutes for each TDT probe and individual tips (0.1 mm) were recorded for  
165 each rain gage.

166 Before their deployment in the field, the TDT probes were calibrated in a  
167 laboratory by using four substances with a range of dielectric permittivities (Fig. 2),  
168 following procedures outlined in (Kelleners et al., 2005). The observed volumetric water  
169 contents indicate normally distributed behavior around a mean with standard deviations  
170 of 0.01 to 0.02  $\text{m}^3 \text{m}^{-3}$  for each medium, with error levels consistent with previous studies  
171 (Blonquist et al., 2005a; Topp et al., 1980). In addition, the individual profiles were  
172 calibrated in the field during two different volumetric calibration datasets, 11 September  
173 2011 and 15 December 2011. The comparison between the volumetric samples and the  
174 TDT probes (manufacturer provided mixing model) indicated a mean bias of 0.02  $\text{m}^3 \text{m}^{-3}$   
175 overestimate of soil moisture by the probe in the SRER soils over the top 30 cm. The bias  
176 was consistent for all TDT probes at 10, 20, and 30 cm with comparisons of the  
177 volumetric samples averaged over 5-15 cm, 15-25 cm, and 25-30 cm, collected from the  
178 same relative locations during the two volumetric calibration datasets. Given the  
179 destructive nature of volumetric sampling, we note that we were not able to sample at the  
180 exact same location and that the bias may be due to horizontal variability at the site or  
181 due to the implicit uncertainties resulting from repacking soil around the in-situ probes.  
182 Over the course of the experiment, 160 TDT probes (out of 180 installed) and 12 rain  
183 gages (out of 18 installed) worked continuously without any noticeable problems or



184 systematic drift. Given the relatively low soil moisture values and large soil temperature  
185 transients, the in-situ TDT probes performed well with ~90% data success rate. In order  
186 to compare the soil moisture from the cosmic-ray neutron sensor and the TDT probes, we  
187 assume a  $-0.02 \text{ m}^3 \text{ m}^{-3}$  bias correction for all TDT probes, based on the volumetric  
188 calibration of the TDT probes.

189

## 190 **2.4 Depth Weighting of Soil Moisture Measurements**

191 In order to compare the area-average soil moisture values from the cosmic-ray  
192 neutron sensor and the volumetric and TDT measurements we needed to average the  
193 point measurements in a compatible manner. The horizontal locations of the point  
194 measurements were selected (Fig. 1b) such that each point, representative of the area, had  
195 equal horizontal weight. We therefore took an arithmetic average of each point  
196 measurement by depth. More complex is the vertical depth averaging given the moving  
197 vertical support of the cosmic-ray sensor (Zreda et al., 2008). The effective depth of the  
198 sensor varies with water content, lattice water, and soil dry bulk density. Using a neutron  
199 particle transport model, Franz *et al.* (In Review) estimated the 86% (i.e. two e-folding)  
200 cumulative depth sensitivity contour,  $\varphi$  (cm), from three homogeneous cases (dry sand,  
201 wet sand, liquid water):

$$202 \quad \varphi(z) = 5.8 - 0.0829z \quad 0 \leq z \leq 70 \quad (3)$$

203 where  $z$  is the vertical distance in the soil (cm), 5.8 (cm) represents the 86% cumulative  
204 sensitivity depth of low-energy neutrons in liquid water, and the slope of the relationship  
205 (0.0829) is controlled by the nuclear cross sections of  $\text{SiO}_2$ .

206 In order to compute an effective sensor depth, it was assumed that the effective  
 207 sensor depth was the point at which the sum of water,  $LW$  (cm), from surface  $W_s$  (cm),  
 208 pore  $W_p$  (cm), and lattice water  $W_L$  (cm) sources crosses the 86% cumulative sensitivity  
 209 contour given by equation (3). The sum of water as a function of soil depth from the three  
 210 different sources is:

$$211 \quad LW(z) = W_s + \frac{\rho_{bd}(z)\tau(z)z}{\rho_w} + \theta(z)z \quad (4)$$

212 where  $\rho_{bd}$  is the dry bulk density of soil ( $\text{g cm}^{-3}$ ),  $\rho_w$  is the density of liquid water ( $\text{g cm}^{-3}$ ),  
 213 and  $\tau$  is the weight fraction of lattice water in the mineral grains and bound water,  
 214 defined as the amount of water released at  $1000^\circ\text{C}$  detected using infrared methods and  
 215 preceded by drying at  $105^\circ\text{C}$  (g of water per g of dry minerals, herein known as lattice  
 216 water, test specifics available at  
 217 [http://www.actlabs.com/page.aspx?page=530&app=226&cat1=549&tp=12&lk=no&men](http://www.actlabs.com/page.aspx?page=530&app=226&cat1=549&tp=12&lk=no&menu=64)  
 218 [u=64](http://www.actlabs.com/page.aspx?page=530&app=226&cat1=549&tp=12&lk=no&menu=64), Table 1). By setting equation (3) equal to the integral of equation (4) we are able to  
 219 define a general relationship for the effective sensor depth,  $z^*$  (cm):

$$220 \quad \phi(z^*) = W_s + \int_0^{z^*} \left( \frac{\rho_{bd}(z)\tau(z)}{\rho_w} + \theta(z) \right) dz \quad (5)$$

221 For uniform distributions of bulk density, pore water, and lattice water, equation (5)  
 222 simplifies to a closed solution for  $z^*$ :

$$223 \quad z^* = \frac{5.8}{\frac{\rho_{bd}}{\rho_w} \tau + \theta + 0.0829} \quad (6)$$

224 where  $\rho_w$  is assumed to be  $1 \text{ g cm}^{-3}$ .

225 With the effective sensor depth defined, a simple linear depth weighting function,  
 226  $wt$ , is proposed as a function of soil depth:

$$227 \quad \begin{cases} wt(z) = a \left( 1 - \left( \frac{z}{z^*} \right) \right) & 0 \leq z \leq z^* \\ wt(z) = 0 & z > z^* \end{cases} \quad (7)$$

228 where  $a$  is a constant defined by the condition that the weights are conserved,

$$229 \quad 1 = \int_0^{z^*} a \left( 1 - \left( \frac{z}{z^*} \right) \right) dz, \text{ which yields the solution } a = \frac{1}{z^* - \frac{z^{*2}}{2z^*}}. \text{ Desilets (unpublished data)}$$

230 has developed a depth weighting function based on nuclear cross sections, where the  
 231 functional form is a product of exponentials representing the production and absorption  
 232 of neutrons in dry and wet soil layers. Preliminary results indicate the linear depth  
 233 weighting function presented here is a reasonable first order approximation for a range of  
 234 soil moisture profiles and given its simplicity it is adopted in this analysis.

235 For the remaining analyses we use equations (5) and (7) to compute depth-  
 236 weighted profiles of the volumetric and TDT calibration/validation datasets, assuming  $W_s$   
 237 is 0 for all cases. Using equation (6), the effective depth of the cosmic-ray sensor time  
 238 series at SRER varies between 20 cm and 40 cm throughout.

239

## 240 **2.5 Neutron Transport Particle Modeling**

241 We used the 3-dimensional Monte Carlo N-Particle eXtended model (MCNPx)  
 242 (Pelowitz, 2005) to simulate the transport of cosmic-ray particles throughout the  
 243 atmosphere and near the surface over low to medium energy levels (0 to ~200GeV).  
 244 MCNPx is general purpose Monte Carlo model that simulates the life history of an  
 245 individual particle and its consequent particles as it interacts with different elements in  
 246 the atmosphere and near surface. The simulations used the same particle source function,

247 domain, and neutron detector as those in previous work (Zreda et al., 2008), but used the  
248 latest cross section libraries provided by the MCNPx user community. We use  
249 horizontally averaged layers and include only vertical heterogeneities in the domain.  
250 Unlike previous work (Zreda et al., 2012), we used the local soil chemistry and dry bulk  
251 density observed at SRER (Table 1). Table 1 shows the weight percent of 14 major rock-  
252 forming elements from samples collected at SRER that make up over 99% of the mass of  
253 the material (soils analyzed at Actlabs, Ancaster, Ontario, Canada). In agreement with  
254 previous work (Zreda et al., 2008), we found that modeled fast neutron flux ( $\sim 10$  to  
255  $100\text{eV}$ ) is weakly correlated to parent material because hydrogen dominates neutron  
256 scattering (Zreda et al., 2012) (Fig. 3b). However, we note that hydrogen in the mineral  
257 structure of soil (a.k.a. lattice water or  $\text{H}_2\text{O}^+$ , defined as  $\tau$  in section 2.4) can significantly  
258 differ among soil types (Greacen, 1981). Therefore, the relationship between fast  
259 neutrons and volumetric water content in the pore space may be affected, (Fig. 3a),  
260 requiring slight modifications to the coefficients in equation (1) (Desilets et al., 2010),  
261 that may not be accounted for explicitly in the  $N_0$  parameter. We note that the variation is  
262 most likely strongest at the dry end, where lattice water can account for a majority of the  
263 hydrogen present in the sensor support volume.

264

### 265 **3. Results**

#### 266 **3.1 Distributed Sensor Network**

267 The half hourly time series of the paired profiles indicates a significant amount of  
268 soil moisture variability in the top 30 cm around the footprint (Fig. 4). Not surprisingly,  
269 the paired profiles illustrate that soil moisture dynamics can be nearly identical (Fig. 4a

270 versus 4b), similar (Fig. 4c versus 4d), or different (Fig. 4e versus 4f). We found that  
271 peak soil moisture following precipitation events was slightly higher on average in  
272 canopy profiles compared to open profiles ( $\sim 0.02 \text{ m}^3 \text{ m}^{-3}$ ). We also found that no wetting  
273 fronts reached the 50 cm probes during the summer monsoons. However, rainfall events  
274 in the winter season, when evapotranspiration is lower, led to deep percolation around the  
275 footprint as indicated by both the individual profiles (Fig. 4, particularly 4a and 4d) and  
276 the spatially averaged TDT profiles at 50 and 70 cm (Fig. 5), which is consistent with  
277 previous work (Scott et al., 2000). The spatial average of the TDT probes results in a  
278 standard error of the mean of less than  $0.01 \text{ m}^3 \text{ m}^{-3}$  for all depth profiles (Fig. 5a). The  
279 standard error of daily rainfall from 12 gauges is  $\sim 2\text{-}3 \text{ mm}$  for a range of rainfall totals  
280 (Fig. 5b). A summary of the hourly TDT profiles and daily rainfall is provided at  
281 <http://cosmos.hwr.arizona.edu/Probes/StationDat/011/index.php>.

282

### 283 **3.2 Comparison of Area-Average Soil Moisture Datasets**

284 To compare the volumetric and TDT soil moisture calibration/validation datasets  
285 with the cosmic-ray neutron data we computed the depth weighted water content over a  
286 six hour period using equations (5) and (7), which is the typical length of time required to  
287 collect a full volumetric calibration dataset. With the longer integration time we note that  
288 this will reduce the neutron count rate uncertainty (Zreda et al., 2008) from  
289 approximately  $44 \text{ counts hr}^{-1}$  to  $18 \text{ counts hr}^{-1}$  for a typically SRER count rate of 2000  
290  $\text{counts hr}^{-1}$ . Table 2 summarizes the five volumetric calibration datasets collected at the  
291 study site. The average neutron counts are corrected for variations in pressure,  
292 geomagnetic latitude, and neutron intensity as summarized in section 2.2 and

293 implemented in data Levels 1 and 2 on the COSMOS website,  
294 <http://cosmos.hwr.arizona.edu/Probes/StationDat/011/index.php>. In addition, we  
295 corrected for variations in hourly atmospheric water vapor by using continuous  
296 measurements of air temperature, air pressure, and relative humidity described in  
297 equation (2). The same procedure was used for 6 hour periods of data from the TDT  
298 validation datasets with data available at  
299 <http://cosmos.hwr.arizona.edu/Probes/StationDat/011/index.php>.

300 The computed  $N_0$  values using equation (1), from the five different volumetric  
301 calibration datasets are summarized in Table 3. We found that  $N_0$  varied between 3311  
302 and 3116 counts  $\text{hr}^{-1}$  between the five different datasets. Comparison of the various  
303 values indicated a maximum soil moisture deviation of  $0.0295 \text{ m}^3 \text{ m}^{-3}$  between all  
304 datasets, with average deviations less than  $0.017 \text{ m}^3 \text{ m}^{-3}$ . Using all five volumetric  
305 calibration datasets we found a best fit  $N_0$  of 3187 with an  $R^2 = 0.927$ ,  $\text{RMSE} = 0.00953$   
306  $\text{m}^3 \text{ m}^{-3}$  and  $p < 0.001$ . The best fit  $N_0$  resulted in an average deviation of  $0.0097 \text{ m}^3 \text{ m}^{-3}$   
307 between all calibration datasets and a percent error of 19.4% at  $0.05 \text{ m}^3 \text{ m}^{-3}$  and 6.5% at  
308  $0.15 \text{ m}^3 \text{ m}^{-3}$ .

309 Using the best fit  $N_0$  from the volumetric calibration datasets, Figure 6 illustrates  
310 the relationships between the derived calibration function, equation (1) with  $N_0 = 3187$   
311 counts  $\text{hr}^{-1}$ , the five volumetric calibration datasets and the continuous TDT validation  
312 datasets over the study period. Using the derived calibration function, we find the TDT  
313 validation datasets have an  $R^2 = 0.822$ ,  $\text{RMSE} = 0.0165 \text{ m}^3 \text{ m}^{-3}$  and  $p < 0.001$  over the 6-  
314 month study period. The remaining 18.8% of variation in the signal is likely due to a  
315 variety of reasons including: neutron count uncertainty (Zreda et al., 2008; Zreda et al.,

316 2012), sampling uncertainty and spatial variability, slight hysteresis in neutron counts  
317 during wetting and drying fronts, and changes in background hydrogen pools other than  
318 those considered in the analysis. Overall the RMSE of  $0.0165 \text{ m}^3 \text{ m}^{-3}$  is small, and well  
319 within the uncertainty observed in the TDT laboratory calibration (Fig. 2) and reported in  
320 the TDT literature (Blonquist et al., 2005b), and in the volumetric calibration datasets  
321 (Table 2).

322 We used MCNPx to compute the average water content that the cosmic-ray sensor  
323 would see given the distribution of pore water from the observed TDT profiles. The  
324 comparison between the computed TDT weighted average value and MCNPx modeled  
325 value (Fig. 7a) shows an RMSE of  $0.0044 \text{ m}^3 \text{ m}^{-3}$ , with maximum deviations of 0.01 to  
326  $0.02 \text{ m}^3 \text{ m}^{-3}$  during high near-surface soil moisture due to the existence of sharp wetting  
327 fronts in the profile. Using the calibration function estimated in Figure 6, we can compare  
328 the cosmic-ray soil moisture data with the TDT weighted averaged values (Fig. 7b). We  
329 find a RMSE of  $0.0108 \text{ m}^3 \text{ m}^{-3}$ , and maximum deviation of 0.03 to  $0.04 \text{ m}^3 \text{ m}^{-3}$  during  
330 high near-surface soil moisture periods. In addition, we find that the cosmic-ray soil  
331 moisture time series decays faster during dry-down periods and is more responsive to  
332 small rain events ( $< 5 \text{ mm}$ ), which is discussed in more detail in section 4.2.

333

### 334 **3.3 Cosmic-Ray Sensor Mass Balance**

335 As an additional confirmation of the quality of geophysical datasets (Huisman et  
336 al., 2001), we compute the daily and total water mass balance using only the cosmic-ray  
337 soil moisture time series and rainfall (Fig. 8 and Table 4). In order to compute a daily  
338 flux, we first subtract the daily average soil moisture values and then multiply by the

339 minimum value of the two effective sensor depth estimates. By working with daily  
340 average values, we smooth the soil moisture time series and may underestimate the total  
341 flux. In the daily soil water fluxes (Fig 8a), positive values indicate periods of net inflow  
342 into the footprint due to infiltration, and negative values represent net outflow due to  
343 evapotranspiration and deep drainage. The daily time series of negative fluxes indicate  
344 maximum observed evapotranspiration of 3 to 4 mm day<sup>-1</sup> in the summer months and 1 to  
345 2 mm day<sup>-1</sup> in the winter, which is consistent with eddy covariance data observed at this  
346 site (Cavanaugh et al., 2011; Scott et al., 2008). By comparing the daily value of  
347 infiltration and rainfall we find that runoff ratios (assuming rainfall interception loss is  
348 less than 1 mm and negligible) vary between ~0 for small rain events and 0.5 for the  
349 largest 45 mm rain event. The total seasonal water balance indicates runoff around 20%,  
350 5% for change in seasonal storage, and 75% for evapotranspiration and deep drainage  
351 (Table 4). Preliminary analysis of the sensor data indicates it conserves mass at the daily  
352 and seasonal time scales, but additional future datasets such as full eddy covariance and  
353 runoff should be analyzed for fuller confirmation.

354

## 355 **4. Discussion**

### 356 **4.1 Quality of Area-Average Soil Moisture Measurements With Cosmic-ray Neutron**

#### 357 **Sensors**

358 Despite large spatial variability between individual soil moisture TDT profiles  
359 (Fig. 4), we found that measurements of above ground low-energy neutrons accurately  
360 capture the mean soil moisture behavior (Fig. 7). By using several volumetric calibration  
361 datasets to define the  $N_0$  parameter in equation (1), we found good agreement ( $R^2 =$



362 0.822) with independent continuous area-average measurements using a distributed  
363 sensor network (Fig. 6). With one independent volumetric calibration dataset we found  
364 that the average absolute deviation between calibration datasets was less than  $0.017 \text{ m}^3$   
365  $\text{m}^{-3}$  with a percent error on the order of 20% or less (Table 3). As with most sensors, we  
366 found that multiple calibration datasets across the range of variability will lead to the  
367 highest confidence in measurements with an RMSE  $\sim 0.0165 \text{ m}^3 \text{ m}^{-3}$ , which is within the  
368 reported uncertainty for TDT probes (Blonquist et al., 2005b).

369         As good practice for data quality and assurance of cosmic-ray neutron sensors we  
370 recommend the following procedures in addition to the standard pressure, geomagnetic  
371 latitude, and neutron intensity corrections: 1) at least one volumetric calibration dataset to  
372 determine  $N_0$ , with additional calibration datasets preferred, 2) continuous measurements  
373 of air temperature, air pressure, and relative humidity to account for temporal variations  
374 in water vapor 3) one estimate of mineral lattice water for use in estimating effective  
375 sensor depth and thus estimating depth weighted averages from discrete point  
376 measurements. As an additional source of calibration standards and procedures, methods  
377 developed using the in-situ neutron probe may be helpful (Bell, September 1987;  
378 Greacen, 1981; Visvalingam and Tandy, 1972). In particular, the method developed in  
379 France by the Commissariat a l'Energie Atomique utilizes direct measurements of the  
380 macroscopic nuclear cross-sections from field samples in an atomic pile in order to  
381 establish a local calibration function. We note that the cosmic-ray neutron sensor uses fast  
382 neutrons ( $\sim 10^1$  to  $10^2 \text{ eV}$ ) as compared to the in-situ neutron probe that uses thermal  
383 neutrons ( $< 0.025 \text{ eV}$ ) to quantify soil moisture. This means that the cosmic-ray neutron

384 sensor will be less sensitive to local soil chemistry variations like Boron or Gadolinium  
385 (Zreda et al., 2008, Table S1).

386 As an active area of research, it may be important to account for other site-  
387 specific transient hydrogen sources that may affect neutron counts, such as fast growing  
388 vegetation like corn (Hornbuckle et al., 2011). We note that static background hydrogen  
389 sources will be implicitly accounted for in the  $N_0$  estimation but any time-varying  
390 hydrogen sources may need to be considered. As stated previously (Bell, September  
391 1987), it is the relative differences in neutron counts at a site that are key to determining  
392 the time-varying change of hydrogen, most notably soil water content.

393

#### 394 **4.2 Sensitivity to Shallow Layer Dynamics**

395 Comparisons between the cosmic-ray soil moisture and TDT weighted averages  
396 indicate two systematic differences between the two signals: one is the higher sensitivity  
397 of neutron counts to small rain events, the other is the faster decay of the neutron signal  
398 during dry-down periods (Fig. 7b). Equation (7) quantifies the decreasing sensitivity of  
399 the cosmic-ray sensor with soil depth, as relatively more neutrons escape from shallower  
400 zones than deeper zones. The reason for both deviations lies not in the nature of the two  
401 systems, but in our inability to adequately capture the shallow (0-10 cm) layer dynamics  
402 because the shallowest TDT probe was placed horizontally at 10 cm depth. Given that  
403 surface water redistribution occurs at the study site, we chose to insert the probes  
404 horizontally instead of vertically through the surface to prevent potential preferential flow  
405 pathways. As a consequence, we do not record changes in soil moisture that occur in the  
406 top 5 cm. In addition, the top layer will dry out faster than the recorded values given by

407 the 10 cm probes due to the high potential evaporation at the study site resulting in the  
408 steeper slope recorded by the cosmic-ray sensor during dry-down periods.

409 While our lack of direct water content measurements in the uppermost 10 cm  
410 presents some limitations to our study, it also suggests a potential advantage of cosmic-  
411 ray measurements. Specifically, given the high sensitivity of cosmic-ray measurements  
412 to the shallow subsurface, cosmic-ray datasets may have great potential for validating  
413 passive microwave sensors given their penetration depths of centimeters (Jackson et al.,  
414 1997). This will be tested in the near future using cosmic-ray sensors that are co-located  
415 at SMOS Cal/Val sites (Zreda et al., August 2011).

416

## 417 **5. Conclusions**

418 In this work we have shown that independent continuous measurements of soil  
419 moisture from a network of TDT probes compare well with a cosmic-ray neutron sensor  
420 calibrated with volumetric soil moisture samples. Moreover, we have found that cosmic-  
421 ray sensor soil moisture data provide reasonable estimates of water flux and conserve  
422 mass at daily and seasonal timescales for water limited, dryland ecosystems where  
423 rainfall penetrates to limited depth, suggesting our understanding of effective sensor  
424 depth for the cosmic-ray sensor may be adequate. Based on these results, we suggest that  
425 further research should investigate the cosmic-ray based measurements of soil moisture  
426 for different soil types, differing hydrological regimes, with the potential to infer soil  
427 moisture and water flux, at intermediate spatial scales helping calibrate and validate land  
428 surface models for improved weather prediction, validating remote sensing products and  
429 potential to aid irrigation management.

430

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570

571 **Figure Captions**

572

573 Figure 1. a) Location and two radial cumulative sensitivity contours of the cosmic-ray  
574 soil moisture sensor at Santa Rita Experimental Range in Southern Arizona (31.9085°N  
575 110.8394°W, elevation 989 m). b) Location of eighteen paired soil moisture profiles in  
576 open areas and below the canopy where TDT probes were inserted horizontally at 10, 20,  
577 30, 50, and 70 cm depths. Letters a-f are keyed to profiles illustrated in Figure 4. Satellite  
578 image is from Google Earth.

579

580 Figure 2. Response of 170 TDT probes used in the experiment when submerged in four  
581 different media with varying permittivity: a) air dried soil from SRER, b) isopropyl  
582 alcohol, c) fully saturated clean sand, and d) deionized water.

583

584 Figure 3. a) The MCNPx modeled fast neutron flux versus pore water content for SiO<sub>2</sub>  
585 and SRER soil chemistries. b) The two modeled datasets collapse to nearly the same  
586 curve when summing total water from both lattice and pore water soil pools.

587

588 Figure 4. Time series of three paired TDT profiles (a-b, c-d, e-f) at different locations  
589 (shown in Fig. 1b) within the cosmic-ray footprint. Left column: profiles in open areas,  
590 right column: profiles under canopy.

591

592 Figure 5. a) Time series of spatially averaged TDT water content by depth and weighted  
593 average from eighteen paired profiles. b) Time series of daily rainfall from twelve rain

594 gauges within footprint. Error bars are 1 standard error of the mean. Weighted averages  
595 are computed from equations (5) and (7).

596

597 Figure 6. Relationship between observed fast neutron counts and five different volumetric  
598 calibration datasets and continuous TDT validation datasets. Data points are averaged  
599 over 6 hours periods and weighted by depth with equations (5) and (7). Fitted curves are  
600 significant at  $p < 0.001$  level.

601

602 Figure 7. a) Comparison between TDT weighted average water content and MCNPx  
603 modeled water content using observed spatially averaged profiles from 10, 20, 30, 50,  
604 and 70 cm. b) Comparison between TDT weighted average water content and observed  
605 water content from cosmic-ray sensor. Data points are averaged over 8 hours and depth  
606 weighted with equations (5) and (7).

607

608 Figure 8. a) Estimate of daily soil water flux using cosmic-ray soil moisture data where  
609 positive values are water infiltration into the soil and negative values are  
610 evapotranspiration and deep drainage. b) Time series of daily precipitation observed over  
611 the footprint. Note that the small positive and negative fluctuations in a) during long dry  
612 periods are due to neutron uncertainty, which can be filtered out with additional  
613 smoothing of the soil moisture time series.

614

615 **Tables**

616

617 Table 1. Summary of chemical composition of soil collected from the Santa Rita

618 Experimental Range study site.

<b>Compound</b>	<b>Weight Percent</b>
SiO <sub>2</sub>	60.11
Al <sub>2</sub> O <sub>3</sub>	9.72
Fe <sub>2</sub> O <sub>3</sub>	2.77
MnO	0.08
MgO	1.70
CaO	10.60
Na <sub>2</sub> O	1.61
K <sub>2</sub> O	2.75
TiO <sub>2</sub>	0.39
P <sub>2</sub> O <sub>5</sub>	0.10
Cr <sub>2</sub> O <sub>3</sub>	0.01
V <sub>2</sub> O <sub>5</sub>	0.01
CO <sub>2</sub>	6.75
H <sub>2</sub> O <sup>+</sup>	2.50 (0.458) †
Total	99.08

619

620 † Value in parenthesis is 1 standard error of the mean of three random samples collected

621 within the footprint.

622

623 Table 2. Summary of five volumetric calibration datasets at SRER.

Sample Date	10/10/2010	1/6/2011	9/11/2011	12/15/2011	2/18/2012
Number of Samples Used in Dataset	36	104	108	108	96
Bulk Density (0-30 cm, $\text{g cm}^{-3}$ ) †	1.40 (0.018)	1.46 (0.016)	1.44 (0.017)	1.52 (0.012)	1.47 (0.016)
Soil Moisture (0-30 cm, $\text{m}^3 \text{m}^{-3}$ ) †	0.0511 (0.0023)	0.0629 (0.0022)	0.0948 (0.0030)	0.153 (0.0026)	0.0818 (0.0019)
Depth Weighted Soil Moisture Using Equations (5) and (7) ( $\text{m}^3 \text{m}^{-3}$ )	0.0517	0.0682	0.1046	0.1420	0.0810
Effective Sensor Depth Using Equation (5) (cm)	35	31	27	21	29
Intensity Corrected Fast Neutron Count, Level 2 Data from COSMOS Website ( $\text{counts hr}^{-1}$ ) ‡	2795 (22)	2672 (22)	2230 (8)	2137 (12)	2528 (15)
Intensity and Water Vapor Corrected Fast Neutron Count using equation (2) ( $\text{counts hr}^{-1}$ ) ‡	2833 (25)	2672 (23)	2301 (3)	2173 (13)	2528 (15)

624

625 † Values in parenthesis are 1 standard error of the mean.

626 ‡ Values in parenthesis are 1 standard error of the mean, where the count rates have been  
627 averaged between 10 AM and 4PM local time when the calibration samples were  
628 collected. All datasets are corrected for incoming neutron intensity and water vapor  
629 according to the conditions on 1 January 2011.

630 Raw neutron datasets are available at

631 <http://cosmos.hwr.arizona.edu/Probes/StationDat/011/index.php>

632 and calibration datasets are available at

633 <http://cosmos.hwr.arizona.edu/Probes/StationDat/011/calib.php>

634

635 Table 3. Summary of volumetric calibration datasets and uncertainty between various  
 636 datasets.

<b>Calibration Sample Date</b>	<b>10/10/2010</b>	<b>1/6/2011</b>	<b>9/11/2011</b>	<b>12/15/2011</b>	<b>2/18/2012</b>	<b>All Five Calibration Datasets</b>
<b>Depth Weighted Soil Moisture (<math>\text{m}^3 \text{m}^{-3}</math>)</b>	0.0517	0.0682	0.1046	0.1420	0.0810	-
<b>Computed <math>N_0</math> (counts <math>\text{hr}^{-1}</math>) †</b>	3311.9	3291.7	3116.2	3172.6	3228.9	3187.0
<b>Matrix of Soil Moisture Deviation Between Calibration Datasets (<math>\text{m}^3 \text{m}^{-3}</math>)</b>						
<b>10/10/2010</b>	-	0.0018	0.0166	0.0120	0.0072	0.0108
<b>1/6/2011</b>	-0.0021	-	0.0172	0.0118	0.0063	0.0104
<b>9/11/2011</b>	-0.0295	-0.0263	-	-0.0081	-0.0165	-0.0102
<b>12/15/2011</b>	-0.0259	-0.0220	0.0097	-	-0.0101	-0.0025
<b>2/18/2012</b>	-0.0098	-0.0074	0.0126	0.0064	-	0.0048
<b>Computed Uncertainty of Calibration Datasets</b>						
<b>Average Absolute Deviation Between Calibration Datasets (<math>\text{m}^3 \text{m}^{-3}</math>)</b>	0.0168	0.0144	0.0140	0.0096	0.0101	0.0097
<b>Percent Error of Observed Soil Moisture</b>	32.5	21.0	13.4	6.7	12.4	19.4% at $0.05 \text{ m}^3 \text{m}^{-3}$ and 6.5% at $0.15 \text{ m}^3 \text{m}^{-3}$

637

638 † Values computed with equation (1) using depth weighted soil moisture and intensity

639 and water vapor corrected neutron counts summarized in Table 3.

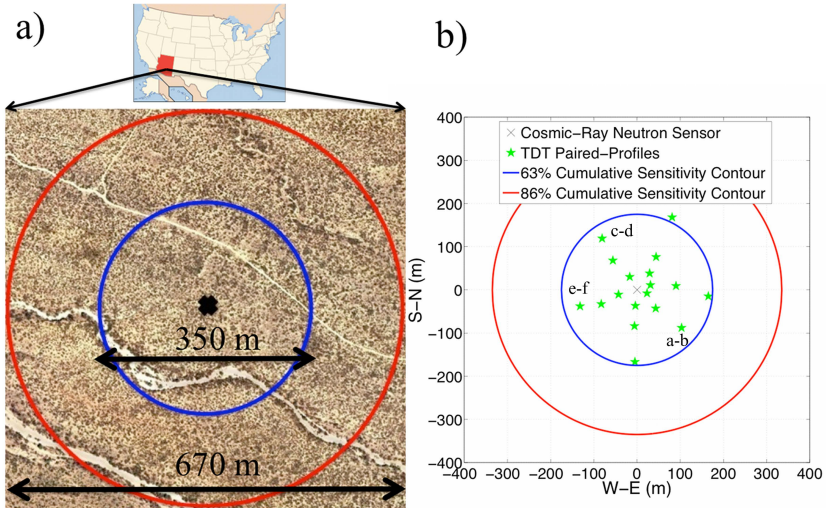
640

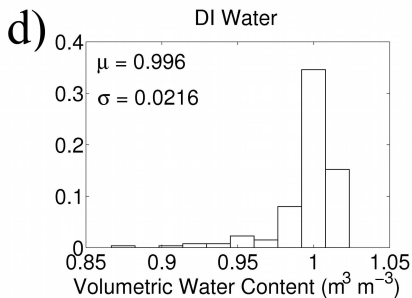
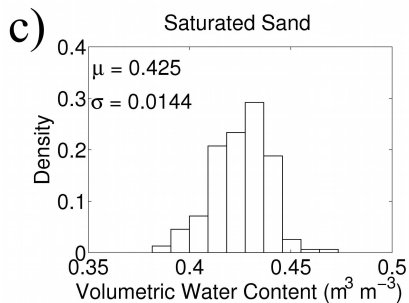
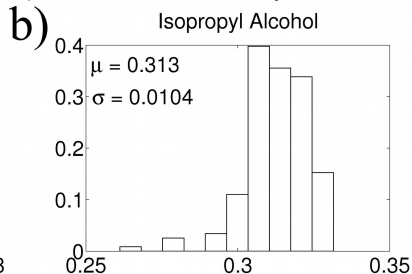
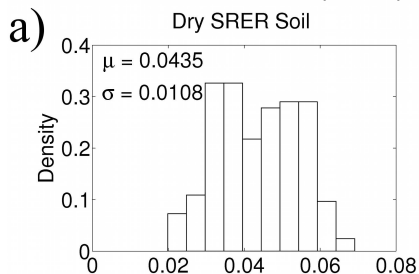
641 Table 4. Summary of cosmic-ray sensor footprint water balance between 26 June 2011  
642 and 5 January 2012 calculated with daily averages of rainfall and changes in cosmic-ray  
643 soil moisture.

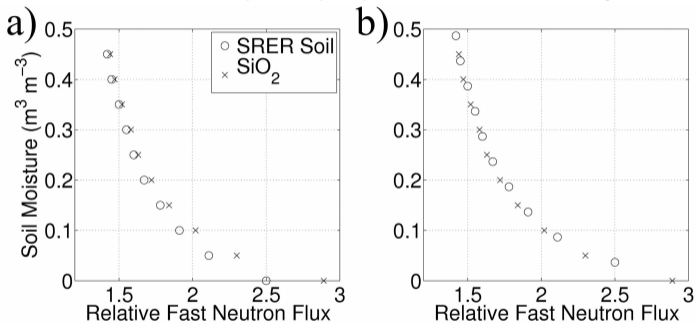
Rainfall (mm)	218.7
Infiltration (mm)	181.8
Evapotranspiration and deep drainage (mm)	168.7
Storage (mm)	13.1
Interception and runoff (mm)	36.9

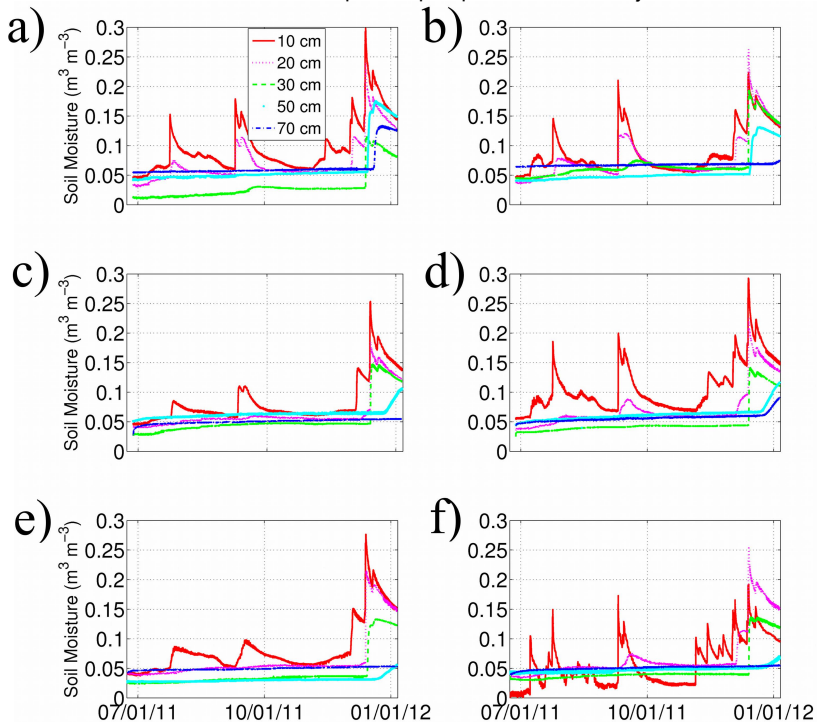
644

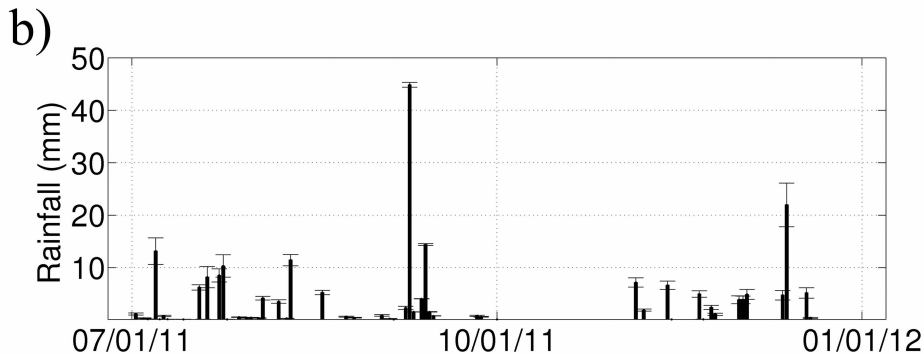
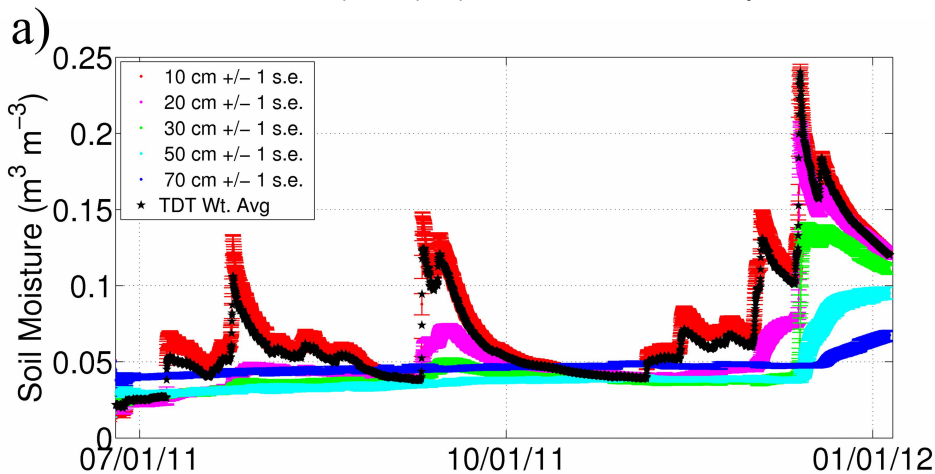


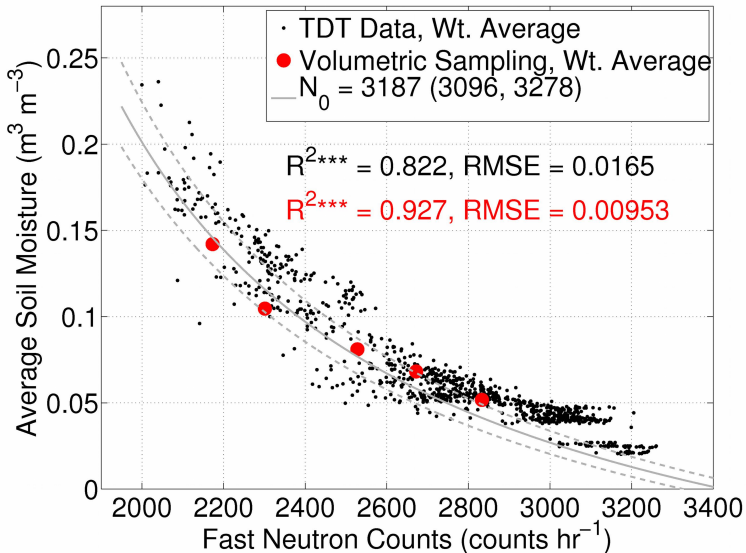




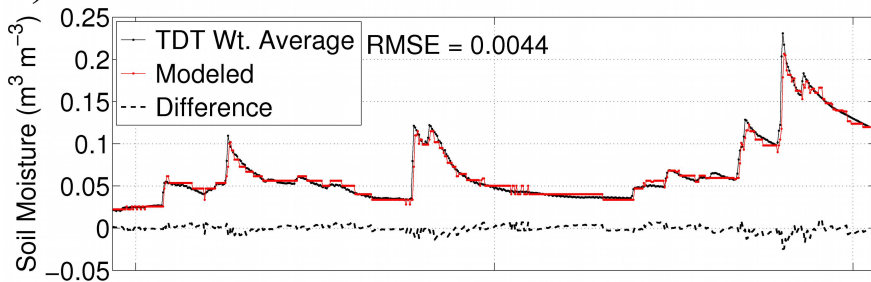








a)



b)

